BSI-479US PATENT

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Paul DiCarlo

: Art Unit: 3738

Application No.:

09/898,936

: Examiner:

Filed:

July 3, 2001

FOR: IMPLANT HAVING IMPROVED

FIXATION TO A BODY LUMEN

AND METHOD FOR IMPLANTING

THE SAME

INFORMATION DISCLOSURE STATEMENT

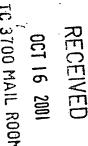
Assistant Commissioner for Patents Washington, D.C. 20231

SIR:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and to the duty of disclosure set forth in 37 C.F.R. § 1.56, the Examiner in charge of the above-identified application is requested to consider and make of record the references listed on the PTO 1449 (R&P) submitted herewith. A copy of each of the listed references is also enclosed.

Although the information submitted herewith may be "material" to the Examiner's consideration of the subject application, this submission is not intended to constitute an admission that such information is "prior art" as to the claimed invention.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.





No first Official Action has yet been received and it is presumed that none has yet been mailed. Accordingly, no fee or certification is required. 37 C.F.R. § 1.97(b).

Respectfully submitted,

Christopher R. Lewis, Reg. No. 36,201 Attorney for Applicant

Enclosures: USPTO Form 1449

Copy of twenty-three (23) references

Dated: October 10, 2001

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The Assistant Commissioner for Patents is hereby authorized to charge payment to Deposit Account No. 18-0350 of any fees associated with this communication.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on:

October 10, 2001

Date

Christopher R. Lewis